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| APPLICATION NO. FILING DATE            |                 | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.             | CONFIRMATION NO. |  |
|--|-----------------|----------------------|---------------------------------|------------------|--|
| 09/704,272 11/01/2000                  |                 | Alan D. Attie        | 960296.96668                    | 1921             |  |
| 75                                     | 7590 08/03/2006 |                      | EXAMINER                        |                  |  |
| Nicholas J. Seay                       |                 |                      | WEGERT, SANDRA L                |                  |  |
| Quarles & Brady L                      | LP .            |                      | ART UNIT                        | PAPER NUMBER     |  |
| P O Box 2113<br>Madison, WI 53701-2113 |                 |                      | 1647<br>DATE MAILED: 08/03/2000 | 6                |  |

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

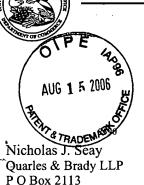
Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### United States Patent and Trademark Office

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Madison, WI 53701-2113

## NOTICE OF ALLOWANCE AND FEE(S) DUE

08/03/2006

EXAMINER

WEGERT, SANDRA L

ART UNIT PAPER NUMBER

1647

1047

DATE MAILED: 08/03/2006

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/704 272      | 11/01/2000  | Alan D. Attie        | 060206 06668        | 1021             |

TITLE OF INVENTION: CHOLESTEROL TRANSPORT GENE

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1400        | \$0                 | \$0                  | \$1400           | 11/03/2006 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT.

PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS.

THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON

ETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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|   | Application No.  | Applicant(s)  |                 |
|---|--|---|-----------------|
|   | 00/704 272   | ATTIE ET AL.  |                 |
| Notice of Allowability  | 09/704,272<br>Examiner   | Art Unit  |                 |
| _   |  | 1647  |                 |
|   | Sandra Wegert  | 1647  |                 |
| The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in or other appropriate commedities. This application is | n this application. If not included unication will be mailed in due course. | THIS initiative |
| 1. This communication is responsive to <u>5/15/06</u> .   |  |   |                 |
| 2. The allowed claim(s) is/are 1, 6, 11, 23 (renumbered as 1-   | <u>4)</u> .  |   |                 |
| 3. ☐ Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the:  |  | or (f).   |                 |
| 1. Certified copies of the priority documents have  |  | on No   |                 |
| <ul><li>2.  Certified copies of the priority documents have</li><li>3.  Copies of the certified copies of the priority documents</li></ul>  |  |   | n the           |
| <del></del> ·   | Cuments have been receive  | in this national stage application her                                      |                 |
| International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  |  |   |                 |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.   | of this communication to fil   | e a reply complying with the requireme                                      | ents            |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm<br>INFORMAL PATENT APPLICATION (PTO-152) which give  | itted. Note the attached Exes reason(s) why the oath                         | CAMINER'S AMENDMENT or NOTICE or declaration is deficient.                  | OF              |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") must   | st be submitted.   |   |                 |
| (a) I including changes required by the Notice of Draftspers  |  | ew ( PTO-948) attached  |                 |
| 1) 🗌 hereto or 2) 📗 to Paper No./Mail Date  |  |   |                 |
| (b) including changes required by the attached Examiner'<br>Paper No./Mail Date   |  |   |                 |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t  | .84(c)) should be written on the header according to 37 C                    | the drawings in the front (not the back) of FR 1.121(d).                    | of              |
| <ol> <li>DEPOSIT OF and/or INFORMATION about the depo-<br/>attached Examiner's comment regarding REQUIREMENT</li> </ol>   | sit of BIOLOGICAL MAT<br>FOR THE DEPOSIT OF B                                | FERIAL must be submitted. Note the IOLOGICAL MATERIAL.                      | Э               |
|   |  |   |                 |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892)   | 5. Notice of   | Informal Patent Application (PTO-152)                                       |                 |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948)  |  | Summary (PTO-413),  |                 |
| 3. Information Disclosure Statements (PTO-1449 or PTO/SB/0  |  | o./Mail Date<br>s Amendment/Comment   |                 |
| <ul> <li>Paper No./Mail Date</li> <li>Examiner's Comment Regarding Requirement for Deposit</li> </ul>   | 8. 🛭 Examiner  | s Statement of Reasons for Allowance  |                 |
| of Biological Material  | 9.   |   |                 |
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Application/Control Number: 09/704,272

Art Unit: 1647

### REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance:

With the cancellation of claim language encompassing non-sulfonyl-urea compounds, the Written Description rejection is now withdrawn.

The claims of the Instant Application encompass a method of inhibiting cholesterol uptake in the gut of an animal using known sulfonylurea or "sulfonyl-urea" compounds. The claims are directed to use of antagonists of ABC1 to inhibit transport of cholesterol from the intestinal lumen to the blood. The claims are free of the prior art, as the methods have not been demonstrated in the prior art. The specification provides experimental evidence of utility and enablement under 35 U.S.C. 112, first paragraph. The claims also meet 35 U.S.C. 112- second paragraph requirements because the claims point out and distinctly claim the invention.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Advisory Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sandra Wegert whose telephone number is (571) 272-0895. The examiner can normally be reached Monday - Friday from 9:00 AM to 5:00 PM (Eastern Time).

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Application/Control Number: 09/704,272

Art Unit: 1647

If attempts to reach the examiner by telephone are unsuccessful, the Examiner's supervisor, Brenda Brumback, can be reached at (571) 272-0961.

The fax number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

SLW

27 July 2006

BRENDA BRUMBACK
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 1600

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 08/03/2006 7590 Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. Nicholas J. Seay Quarles & Brady LLP P O Box 2113 Madison, WI 53701-2113 (Depositor's name) (Signature) (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO 09/704,272 11/01/2000 Alan D. Attie 960296.96668 1921 TITLE OF INVENTION: CHOLESTEROL TRANSPORT GENE APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE \$1400 \$0 \$0 \$1400 11/03/2006 nonprovisional NO CLASS-SUBCLASS **EXAMINER** ART UNIT WEGERT, SANDRA L 1647 514-107000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a Tree Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🚨 Corporation or other private group entity 🚨 Government 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: ☐ Issue Fee A check is enclosed. ☐ Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this for ☐ Advance Order - # of Copies (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature \_ Date \_ Typed or printed name Registration No.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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